







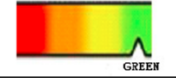

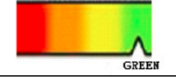










APPENDIX D

Internal Audit Plan - 2017-18
Status Update







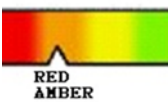


Audit Ref	Audit Title	Department	Planned / Unplanned	Status	Assurance / Due
17/001	Learning Disabilities	Adult Social Care	Planned	Not yet started	Feb 2018
17/002	Reablement	Adult Social Care	Planned	Not yet started	Feb 2018
17/003	Safeguarding in Adult Social Care	Adult Social Care	Planned	Complete	 GREEN AMBER
17/004	Supported Living (*) ¹	Adult Social Care	Planned	Complete	 GREEN AMBER
17/005	Independent Safeguarding and Review	Children's Social Care	Planned	Complete	 GREEN AMBER
17/006	Information Governance - Children's	Children's Social Care	Planned	Not yet started	Feb 2018
17/007	North Wales Adoption Service	Children's Social Care	Planned	Complete	 RED AMBER
17/008	Safeguarding	Children's Social Care	Planned	Not yet started	Feb 2018
17/009	Events Management	Corporate and Customer Services	Planned	Complete	 GREEN AMBER
17/010	HR - Pre-employment Checks	Corporate and Customer Services	Planned	Not yet started	May 2018
17/011	HR - Employee Code of Conduct	Corporate and Customer Services	Planned	Complete	 GREEN AMBER
17/012	ICT Security - Networks (including cyber threat)	Corporate and Customer Services	Planned	Not yet started	May 2018
17/013	Information Management - including Data Security	Corporate and Customer Services	Planned	Not yet started	Feb 2018
17/014	Payroll	Corporate and Customer Services	Planned	Not yet started	Feb 2018
17/015	Governance in Schools	Education	Planned	Complete	 RED AMBER
17/016	Performance Management in Schools	Education	Planned	Not yet started	Feb 2018

¹ Audits marked with an asterisk have been signed off by an Audit Lead because of a potential conflict of interest with the Service Manager, Audit and Technical

17/017	School Funds	Education	Planned	Complete	
17/018	Schools Cross cutting - to be confirmed	Education	Planned	Not yet started	May 2018
17/019	Education Improvement Grant	Education	Planned	Complete	
17/020	Pupil Deprivation Grant	Education	Planned	Complete	
17/021	WG Post-16 funding	Education	Planned	Complete	
17/022	ICT Support for Schools	Education	Planned	Complete	
17/023	St Mary's School, Overton	Education	Planned	Complete	
17/024	St Christopher's School	Education	Planned	Complete	
17/025	St Anne's School	Education	Planned	Not yet started	Feb 2018
17/026	Ysgol Y Grango	Education	Planned	Complete	
17/027	Food Safety	Environment & Planning	Planned	Complete	
17/028	Health and Safety (*) ²	Finance	Planned	Complete	
17/029	Highways Maintenance (*) ³	Environment & Planning	Planned	Not yet started	Feb 2018
17/030	Occupational Road Risk Policy (*)	Environment & Planning	Planned	Complete	
17/031	Waste Collection	Environment & Planning	Planned	Not yet started	Feb 2018
17/032	Bank Reconciliation	Finance	Planned	Not yet started	Feb 2018
17/033	Consultation & Engagement	Finance	Planned	Complete	
17/034	Contract Management (*)	Finance	Planned	Not yet started	Feb 2018
17/035	Council Tax	Finance	Planned	In progress	Feb 2018
17/036	Creditors – P2P (*)	Finance	Planned	Not yet started	Feb 2018
17/037	Debt Recovery	Finance	Planned	Not yet	Feb 2018


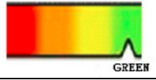


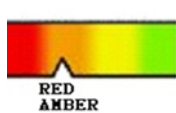
² Audits shown in red were deferred from 2016/17

³ Audits marked with an asterisk have been signed off by an Audit Lead because of a potential conflict of interest with the Service Manager, Audit and Technical

				started	
17/038	Income Maximisation Unit	Finance	Planned	Not yet started	Feb 2017
17/039	Partnership Working	Finance	Planned	Working on	TBC
17/040	Prevent! Relating to Equalities	Finance	Planned	Complete	
17/041	Procurement (*) ⁴	Finance	Planned	Not yet started	Feb 2018
17/042	Project Management	Finance	Planned	Not yet started	May 2018
17/043	Public Service Board	Finance	Planned	Complete	
17/044	Risk Management (*)	Finance	Planned	Not yet started	May 2018
17/045	Treasury Management	Finance	Planned	Complete	
17/046	Use of Consultants (*)	Finance	Planned	Not yet started	May 2018
17/047	Carbon Reduction & Energy Efficiency	Housing & Economy	Planned	Complete	
17/048	Housing Rents - Collection, Arrears and Enforcement	Housing & Economy	Planned	Not yet started	Feb 2018
17/049	Housing Repairs ⁵	Housing & Economy	Planned	Complete	
17/050	Sheltered Housing	Housing & Economy	Planned	Complete	
17/051	Supporting People and Homelessness	Housing & Economy	Planned	Not yet started	May 2018
17/052	Reshaping Services	SLT	Planned	Not yet started	Feb 2018
17/053	Prison Pathways Project	SLT/Housing & Economy	Planned	Cancelled	Does not apply
17/054	National Fraud Initiative	Finance	Planned	In progress	May 2018
17/055	Other Counter Fraud work	Finance	Planned	In progress	May 2018
17/301	Inventories & Stocks	Finance	Unplanned	Complete	
17/302	Financial Procedure Rule 4.17 Travelling and Subsistence	Corporate and Customer Services	Unplanned	Complete	
17/303	Car Parking Income	Finance / Environment & Planning	Unplanned	Complete	

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⁵ **Audits shown in red were deferred from 2016/17**

17/701	Health and Safety – 2 nd Follow up – Inspection Regimes (*) ⁶	Finance	Planned	Complete	
17/702	ITeC Investigation – 1 st Follow up	Education	Unplanned	Complete	
17/703	Information Management – Data Protection – 1 st Follow up	Corporate & Customer Services	Unplanned	Not yet started	Feb 2018
17/704	Fleet Management - 3 rd Follow up (*)	Environment & Planning	Unplanned	Complete	
17/705	Learning & Development – 1 st Follow up	Corporate & Customer Services	Unplanned	Complete	
17/706	Highways Maintenance Contract – 1 st Follow up (*)	Environment & Planning	Unplanned	Complete	
17/901	Investigation	N/A	Unplanned	Complete	N/A

Rows with no shading are audits which have been completed
Rows shaded in grey are audits which have not been started
Rows shaded in yellow are audits which are in progress
Rows shaded in orange are deferred or cancelled

⁶ Audits marked with an asterisk have been signed off by an Audit Lead because of a potential conflict of interest with the Service Manager, Audit and Technical